

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10030386-1

SERIAL NO.

10/666,091

APPLICANT

Brenton A. Baugh et al.

FILING DATE

Sept. 19, 2003

GROUP

31088 U.S. PTO
10/666091

091903

U.S. PATENT DOCUMENTS

REFERENCE DESIGNATION

| EXAMINER INITIAL | * | DOCUMENT NUMBER | DATE | NAME |
|---------------------|---|--------------------|------------|------------------|
| <i>WV</i> | | 5,875,205 | 02/23/1999 | Spaeth et al. |
| <i>WV</i> | | 5,981,945 | 11/09/1999 | Spaeth et al. |
| <i>WV</i> | | 6,228,675 | 05/08/2001 | Ruby et al. |
| <i>WV</i> | | 6,265,246 | 07/24/2001 | Ruby et al. |
| <i>WV</i> | | 6,376,280 | 04/23/2002 | Ruby et al. |
| <i>WV</i> | | 6,429,511 | 08/06/2002 | Ruby et al. |
| <i>WV</i> | | 6,556,608 | 04/29/2003 | Gilliland et al. |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | DATE | NAME | TRANSLATION | |
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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

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| <i>WV</i> | Chien Chieh Lee et al., "Silicon-Based Transmissive Diffractive Optical Element", Optics Letters, Vol. 28, No. 14, July 15, 2003, Optical Society of America, pp. 1260-1262. |
| <i>WV</i> | U.S. Patent Application Serial No. 10/210,598 filed July 31, 2002 entitled "Optical Fiber Coupler Having A Relaxed Alignment Tolerance," Inventor: Christopher L. Coleman, 17 pages. |
| <i>WV</i> | U.S. Patent Application Serial No. 10/208,570 filed July 30, 2002 entitled "Diffractive Optical Elements And Methods of Making the Same", Inventors: James A. Matthews, Wayne H. Grubbs, 18 pages. |

EXAMINER

DATE CONSIDERED

10/10/05

* Copies of these references are not enclosed pursuant to 37 CFR 1.98(d). (See accompanying IDS)

| REFERENCE DESIGNATION | U.S. PATENT DOCUMENTS |
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